

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/928,076

08/10/2001

John M. Harris

CE08983R

CONFIRMATION NO. 7354

Date Mailed: 09/17/2001

FORMALITIES LETTER

OC000000006560909*

22917 MOTOROLA, INC. 1303 EAST ALGONQUIN ROAD **IL01/3RD** SCHAUMBURG, IL 60196

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Cent

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-00)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)

| Application Number | 09/928,076 |
|------------------------|------------------------|
| Filing Date | 08/10/01 |
| First Named Inventor | Harris, John M. et al. |
| Group Art Unit | 2661 |
| Examiner Name | Unknown |
| Attorney Docket Number | CE08983R |

| ENCLOSURES (check all that apply) X Fee Transmittal Form | X Fee Transmittal Form X Assignment Papers (for an Application) Fee Attached Drawing(s) After Allowance Communication to Group Appeal Communication to Bo of Appeals and Interferences Amendment/Reply Licensing-Related papers Appeal Communication to Group Appeal Communication to Group Appeals and Interferences Appeal Communication to Group | oup | | | | | | | | |
|---|--|------|--|--|--|--|--|--|--|--|
| Fee Attached | (for an Application) Fee Attached Drawing(s) Amendment/Reply (for an Application) Drawing(s) Drawing(s) Appeal Communication to Boo of Appeals and Interferences Appeal Communication to Group Appeal Communication to Boo of Appeals and Interferences Appeal Communication to Group | oup | | | | | | | | |
| Amendment/Reply After Final Petition Petition Petition Proprietary Information Petition Proprietary Information Affidavits/Declaration(s) Petition to Convert to a Provisional Application Proprietary Information Affidavits/Declaration(s) Petition to Convert to a Provisional Application Extension of time Request Power of Attorney, Revocation, Change of Correspondence Address Express Abandonment Request Terminal Disclaimer Petro of Refund Terminal Disclaimer Certified Copy of Priority Documents Request for Refund X Response to Missing Parts Incomplete Application Remarks Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Lalita P. Williams Registration No. 39,427 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | of Appeals and Interferences Amendment/Reply Licensing-Related papers Appeal Communication to Gro | oup | | | | | | | | |
| After Final Petition Proprietary Information Affidavits/Declaration(s) Petition to Convert to a Provisional Application Extension of time Request Power of Attorney, Revocation, Change of Correspondence Address Express Abandonment Request Power of Attorney, Revocation, Change of Correspondence Address Express Abandonment Request Power of Attorney Address Information Disclosure Statement Terminal Disclaimer Certified Copy of Priority Documents Request for Refund X Response to Missing Parts/ Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53 Firm or Individual Lalita P. Williams Registration No. 39,427 Signature Certifictate OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Appeal Notice, Brief, Reply Brief | 1) | | | | | | | | |
| Provisional Application Extension of time Request Power of Attorney, Revocation, Change of Correspondence Address Express Abandonment Request Express Abandonment Request Express Abandonment Request Express Abandonment Request Terminal Disclaimer Certified Copy of Priority Documents Response to Missing Parts Under 37 CFR 1.52 or 1.53 CD, Number of CDs Remarks Response to Missing Parts Under 37 CFR 1.52 or 1.53 Registration No. 39,427 Signature Date Certificate OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | After Final Petition Proprietary Information | | | | | | | | | |
| Change of Correspondence Address Substitute | | pies | | | | | | | | |
| Information Disclosure Statement | Change of Correspondence Address A pages Declaration Combined Power of Attorney | | | | | | | | | |
| X Response to Missing Parts/ | ☐ copy of Notice to File Missin Parts of Application | g | | | | | | | | |
| Incomplete Application Remarks Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Lalita P. Williams Registration No. 39,427 Signature Date Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Certified Copy of Priority Documents Request for Refund | | | | | | | | | |
| Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | X Response to Missing Parts/ CD, Number of CDs | | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Incomplete Application Remarks | | | | | | | | | |
| Firm or Individual Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Under 37 CFR 1.52 or 1.53 | | | | | | | | | |
| Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | | | | | | | | | | |
| Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Lalita P Williams Registration No. 39.477 | | | | | | | | | |
| CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | Signature Lalita & Milliams | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | 300000000000000000000000000000000000000 | | | | | | | | | |
| first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below: | | | | | | | | | | |
| Typed or printed name Tanya Scheuer | | | | | | | | | | |
| | Typed or printed name Tanya Scheuer | | | | | | | | | |
| Signature Janya Scheur Date 9/26/01 | Signature Janya Scheur Date 9/26/01 | | | | | | | | | |



| OCT P 2007 | • \ | • | | | | | |
|--|----------------------|------------------------|--|--|--|--|--|
| PTO/SB/17 (11-00) | 7 | Complete if Known | | | | | |
| FEE TRANSMITTAL | Application Number | 09/928,076 | | | | | |
| TRANSMITTAL | Filing Date | 08/10/01 | | | | | |
| Patent fees are subject to annual revision | First Named Inventor | Harris, John M. et al. | | | | | |
| | Examiner Name | Unknown | | | | | |
| | Group Art Unit | 2661 | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 170.00 | Attornov Dookst No | 0500000 | | | | | |

| 7.0 | ttorney Doc | MOLITO. | <u> </u> | 08983R | | | _ | |
|---|-------------|-----------------------------|------------|---------------|--------------------------|--|---|--|
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | | |
| The Commissioner is hereby authorized to charge | | 3. ADD | ITIONAL | . FEES | | | | |
| indicated fees and credit any overpayment to: | | La | rge | Sm | | | | |
| Deposit Account Number 13-4772 | | <u>En</u> | tity | En | <u>tity</u> | | | |
| Deposit Account Name Motorola, Inc. | | Fee | Fee | Fee | Fee | | | |
| <u> </u> | | Code | (\$) | Code | (\$) | Fee Description | | |
| Charge Any Additional Fee Required | | 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath 130.00 | | |
| Under 37 CFR 1.16 and 1.17 | | 127 | 50 | 227 | 25 | Surcharge – late Provisional filing | | |
| Applicant claims small entity status. | | 139 | 130 | 139 | 130 | Non-English specification | | |
| See 37 CFR 1.27 | | 147 | 2520 | 147 | 2520 | For filing a request for ex parte | | |
| | | | | | | Reexamination | | |
| 2. Payment Enclosed: | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Check Credit Money Card Order | Other | 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | | |
| | | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| FEE CALCULATION | | 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| | | 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| 1. BASIC FILING FEE | | 118 | 1390 | 218 | 695 | Extension for reply within fourth month | | |
| | | 128 | 1890 | 228 | 945 | Extension for reply within fifth month | | |
| Large Entity Small Entity | | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| Fee Fee Fee Fee Code (\$) Code (\$) Fee! | Paid | 120 121 | 310 270 | 220 221 | 155 135 | Filing a brief in support of an appeal Request for oral hearing | | |
| (4) | | 138 | 1510 | 138 | 1510 | Petition to institute a public use proceeding | | |
| 101 710 201 365 Utility filing fee | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 106 320 206 160 Design filing fee | | 141 | 1240 | 241 | 620 | Petition to revive - unintentional | | |
| 107 490 207 245 Plant filing fee | | 142 | 1240 | 242 | 620 | Utility issue fee (or reissue) | | |
| 108 710 208 355 Reissue filing fee | | 143 | 440 | 243 | 220 | Design issue fee | | |
| 114 150 214 75. Provisional filing fee | | 144 | 600 | 244 | 300 | Plant issue fee | | |
| 011070741 (4) (4) 0.00 | ——, I | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| SUBTOTAL (1) (\$) 0.00 | | 123 126 | 50 180 | 123 126 | 50 180 | Processing fee under 37 CFR 1.17(q) Submission of IDS | | |
| 2. EXTRA CLAIM FEES | | | | | | | | |
| Extra Fee from Claims below Fee I | Paid | 581 | 40 | 581 | 40 | Recording each patent assignment 40.00 per property (times number of properties) | | |
| Total Claims -20** = X 18 = | | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a) | | |
| Independent 3** = X80 = Claims | — I | 149 | 710 | 249 | 355 | For each additional invention to be | | |
| Multiple Dependent 270 = | 1 | | | | - | examined (37 CFR § 1.129(b0 | | |
| Large Entity Small Entity Fee Fee Fee Fee | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| Code (\$) Code (\$) Fee Description | | 169 | 900 | 169 | 900 | Request for expedited examination | | |
| 103 18 203 9 Claims in excess of 20 | | Other fee | (anosifu) | | | of a design application | | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid | | Other lee | (specily) | | | | | |
| 109 80 209 40 ** Reissue independent | i t | | | | | | | |
| claims Over original patent | 1 | | | | | | | |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | | | | | | | | |
| and over original patent | | * Dadus | ad by Dan | sic Filing Fe | | CUDTOTAL (2) (2) 470.00 | _ | |
| SUBTOTAL (2) (\$) 0.00 | | | eu by bas | ac riling re | ee | SUBTOTAL (3) (\$) 170.00 | | |
| **OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissues, see above SUBMITTED BY | | | | | · | Complete (if applicable) | | |
| | | Rogietm | ation No. | 20 427 | , | | | |
| Name (Print/Type) Lalita P. Williams | | | audii NU. | 39,427 | <u> </u> | Telephone 847-538-5855 | | |
| Signature Hautas Mulians Mail Date Sept 21, 2001 | | | | | ail Date Sept 21, 2001 | | | |









DOCKET NO.: CE08983R

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Harris, John M. et al.

APPLICATION NO .:

09/928,076

FILED:

08/10/01

GROUP ART UNIT:

2661

TITLE:

METHOD FOR IMPLEMENTING A MODIFIED RADIO LINK

PROTOCOL

Certificate of Mailing 26,2001

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as first-class mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

Tanya Scheuer

Printed Name of Person Mailing Paper

Assistant Commissioner for Patents Washington, D.C. 20231

Attention:

Customer Service Center

Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Application dated 09/17/01, please find enclosed:

pages Declaration Combined with Power of Attorney

page copy of Notice to File Missing Parts of Application

Pages Assignment and Agreement

Page Recordation Transmittal Sheet

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4772. A fee transmittal is enclosed.

Respectfully submitted,

SEND CORRESPONDENCE TO:

CUSTOMER NUMBER 22917

LALITA P. WILLIAMS Attorney of Record

Reg. No.: 39,427

Telephone:

847-538-5855

Fax No.:

847-576-3750